

Everyone needs more time to enjoy the good things in life. . .

Start auto trading sit back and relax.

How to Setup an Auto Trading Account

- 1. Open an Regal Securities account.**
Complete the required account forms including margin and/or option applications if applicable.
- 2. Complete, sign and date the auto trading forms where indicated.** Indicate which services/programs you subscribe to and if applicable, your account executive's name, the maximum amount per trade, as well as the date your subscription expires.
- 3. Keep this form for your records.**

No other party, including your newsletter publisher, can start, stop or change your auto trading status. If you and your newsletter publisher agree to a change it will not take effect until you notify Regal Securities.

What You Should Know About Auto Trading

- You, the client, control your account. We, Regal Securities, will follow your instructions accurately.
- You authorize Regal Securities to follow the Newsletter Publisher of your choice using the forms provided in your new account package.
- Auto trading begins when your completed account application and auto trading forms have been received, your account number has been assigned, and funds are available in your account.
- Auto trading will continue in your account indefinitely or until your subscription expires unless one or more the following changes are made:
 - A. A change of the dollar amount, number of contracts or percentage of account value assigned for each trade.
 - B. A change of the specific services (by proper name) to be auto traded.
 - C. A change in your auto trading status from active to inactive, or back to active from inactive.
 - D. All programs listed as "sell only," will be terminated upon sale of the last position for that program, unless requested otherwise.
- You can change the services/programs and maximum amount per trade at any time.

Call: 1-800-92-REGAL

Fax: 1-800-349-9552

Mail: Regal Securities
P.O. Box 9503
Fort Myers, FL 33906-9503

- You can combine your own trading with auto trading. You can use your Regal Securities account to buy and sell options and stocks on your own. If you want to sell a position before your advisor does, call 1-800-92-REGAL and say: "I want to sell a position, take me off the auto trading ticket for this trade only." Written correspondence is not necessary for this temporary condition. Customers will be held responsible for duplicate trades.

IMPORTANT: If an existing position in your account matches an auto trade closeout trade alert, Regal Securities will close the entire position (including your existing position) when your Newsletter Publisher recommends doing so. When closing a position, Regal Securities will not differentiate between positions acquired through auto trading and those positions acquired by the account holder.

- Sufficient funds must be available in your account. No trading will occur, regardless of the instructions from your Newsletter Publisher, unless there are sufficient funds available in your account. Regal Securities will only place an auto trade in an account having available cash or buying power to place the recommended auto trade. Regal Securities will not place option auto trades that will result in additional margin debt. If your auto trading program requires or recommends the use of margin, Regal Securities requires pre-authorization.

